

04-28-00

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PATENT APPLICATION
Express Mail Label No. EL436467498US
Attorney Docket No. OR00-00801

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT
APPLICATION TRANSMITTAL LETTER



Asst. Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

Sir:

Enclosed for filing is an ☒ original patent application or, ☐ a continuation-in-part patent application, by inventor(s) Daniel ManHung Wong, Chon Hei Lei, Patrick F. Sack, entitled SELECTIVELY AUDITING ACCESSES TO ROWS WITHIN A RELATIONAL DATABASE AT A DATABASE SERVER.

No. of pages in Specification: 18; No. of Claims: 30.

No. of Sheets of Drawings: Formal: 3, Informal: 0.

Also enclosed are:

- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 in
- ☐ a separate document ☐ the declaration;
- ☐ a certified copy of the priority document;
- ☐ an Associate Power of Attorney;
- ☐ ___ verified statement(s) claiming small entity status;
- ☒ a Combined Declaration and Power of Attorney of the inventor(s); (two copies)
- ☐ a signed Combined Declaration and Power of Attorney of the inventors will follow;
- ☒ an Assignment document (two copies) and form PTO-1595;
- ☒ a Power of Attorney by Assignee; and
- ☐ Information Disclosure Statement and Form PTO-1449.

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The fee has been calculated as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$690.00
Total Claims	30	MINUS 20 =	10	\$18.00=	\$180.00
Independent Claims	3	MINUS 3 =	0	\$78.00=	\$0.00
If multiple dependent claims are presented, add \$260.00					0
Total Application Fee					\$870.00
If verified statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					
Add Recording Fee of \$40.00 if Assignment document is enclosed					\$40.00
TOTAL APPLICATION FEE DUE					\$910.00

- ☒ A check in the amount of \$ 910.00 is enclosed.
- ☐ Application fee will follow with missing parts.
- ☒ Please deduct any underpayments or credit any overpayments to Deposit Account Number 50-1003.

Please direct all correspondence concerning the above-identified application to the following address:


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22835

PATENT TRADEMARK OFFICE

Respectfully submitted,

By 
A. Richard Park
Registration No. 41,241

Date: April 26, 2000

PATENT APPLICATION
ATTORNEY DOCKET NO. OR00-00801

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SELECTIVELY AUDITING ACCESSES TO
10 **ROWS WITHIN A RELATIONAL DATABASE**
AT A DATABASE SERVER

Inventor(s): Daniel ManHung Wong, Chon Hei Lei and Patrick F. Sack
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Related Application

The subject matter of this application is related to the subject matter in a
20 co-pending non-provisional application by inventor Daniel ManHung Wong, filed
on the same day as the instant application entitled, "Reforming Queries to
Selectively Audit Accesses to Rows Within a Relational Database," having serial
number TO BE ASSIGNED, and filing date TO BE ASSIGNED (Attorney
Docket No. OR00-01101).

25

BACKGROUND

Field of the Invention

The present invention relates to providing security in computerized
30 databases. More specifically, the present invention relates to a method and an

apparatus for selectively auditing accesses to relational database tables based upon auditing conditions.

Related Art

5 Databases commonly store highly sensitive data, such as salaries, corporate financial data, and even classified military secrets. For security reasons it is essential to be able to audit accesses to this sensitive data. Conventional database systems typically provide a general auditing facility that records an audit trail containing general information about the user and the query issued.

10 However, conventional auditing facilities have a number of shortcomings. They do not record specific information about the application, the session environment or most importantly, the query results. Consequently, information gathered by a conventional auditing facility is frequently insufficient to reconstruct an event, or even to determine whether access rights have been
15 violated.

 In conventional relational database systems, auditing facilities only record information regarding which tables are accessed, not whether certain rows inside a given table are accessed. This table-level auditing tends to generate a large number of false audit records because many accesses to a given table do not touch
20 sensitive data.

 What is needed is an auditing mechanism that can specify a finer granularity of audit conditions during accesses to relational tables in order to minimize the number of false audit records that are generated.

 Another problem in auditing database accesses arises in distributed
25 database architectures, in which an application located on an application server sends a query to a database located on a database server. In this type of distributed architecture, auditing is typically performed by embedding customized

auditing mechanisms into the application on the applications server, not at the database server. Relying on the application to perform auditing can give rise to many problems because a large number of applications can potentially access the database. Consequently, it is almost impossible to ensure that each one of these applications is configured to perform the auditing properly.

What is needed is an auditing mechanism for database accesses which does not rely on applications outside of the database server to perform auditing.

SUMMARY

One embodiment of the present invention provides a system that selectively audits accesses to a relational database system. This system starts by receiving a query from a client at a database server. The system processes this query at the database server to produce a query result. The system also creates an audit record for rows in relational tables that are accessed by the query, and that satisfy an auditing condition. Next, the system records the audit record in an audit record store and returns the query result to the client. Integrating the auditing facility into the relational database system in this manner ensures that auditing is performed in the same way regardless of which application generates the query. Furthermore, this auditing is transparent to applications and users.

In one embodiment of the present invention, the system additionally modifies the query so that processing the query causes the audit record to be created and recorded for rows in relational tables that are accessed by the query and that satisfy the auditing condition. In a variation on this embodiment, the auditing condition is associated with a table in the relational database system.

In one embodiment of the present invention, the auditing condition includes a plurality of auditing conditions.

In one embodiment of the present invention, the audit record includes a user name for a user making the query, a time stamp specifying a time of the query, and a text of the query.

5 In one embodiment of the present invention, the system determines if auditing is enabled, and if so, creates the audit record.

In one embodiment of the present invention, auditing is enabled on a table by table basis.

In one embodiment of the present invention, the audit record is created while the query result is being generated.

10 In one embodiment of the present invention, the audit record is created after the query result is generated.

In one embodiment of the present invention, the auditing condition includes a condition for a field within the relational database system.

15 **BRIEF DESCRIPTION OF THE FIGURES**

FIG. 1 illustrates a distributed computing system in accordance with an embodiment of the present invention.

FIG. 2 illustrates a table within a relational database in accordance with an embodiment of the present invention.

20 FIG. 3 is a flow chart illustrating the process of auditing a query in accordance with an embodiment of the present invention.

FIG. 4 is a flow chart illustrating the process of reforming a query for auditing purposes in accordance with an embodiment of the present invention.

25 **DETAILED DESCRIPTION**

The following description is presented to enable any person skilled in the art to make and use the invention, and is provided in the context of a particular

application and its requirements. Various modifications to the disclosed
embodiments will be readily apparent to those skilled in the art, and the general
principles defined herein may be applied to other embodiments and applications
without departing from the spirit and scope of the present invention. Thus, the
5 present invention is not intended to be limited to the embodiments shown, but is
to be accorded the widest scope consistent with the principles and features
disclosed herein.

The data structures and code described in this detailed description are
typically stored on a computer readable storage medium, which may be any device
10 or medium that can store code and/or data for use by a computer system. This
includes, but is not limited to, magnetic and optical storage devices such as disk
drives, magnetic tape, CDs (compact discs) and DVDs (digital video discs), and
computer instruction signals embodied in a transmission medium (with or without
a carrier wave upon which the signals are modulated). For example, the
15 transmission medium may include a communications network, such as the
Internet.

Distributed Computing System

FIG. 1 illustrates a distributed computing system 100 in accordance with
20 an embodiment of the present invention. Distributed computing system 100
includes a number of computer systems (nodes), including clients 102-104,
application server 108 and database server 110. Computer systems 102-104, 108
and 110 can generally include any type of computer system, including, but not
limited to, a computer system based on a microprocessor, a mainframe computer,
25 a digital signal processor, a personal organizer, a device controller, and a
computational engine within an appliance.

Computer systems 102-104, 108 and 110 are coupled together by a computer network (not shown). This computer network can include any type of wire or wireless communication channel capable of coupling together computing nodes. This includes, but is not limited to, a local area network, a wide area
5 network, or a combination of networks. In one embodiment of the present invention, this network includes the Internet.

Clients 102-104 can include any node on a network including computational capability and including a mechanism for communicating across the network. In the embodiment of the present invention illustrated in FIG. 1,
10 clients 102-104 communicate with application 107 located on application server 108. Application 107 in turn communicates with relational database 109 on database server 110. Application server 108 and database server 110 can include any node on a computer network including a mechanism for servicing requests from a client for computational and/or data storage resources. Note that
15 application server 108 serves as a client for database server 110.

Database server 110 contains relational database 109. Relational database 109 can generally include any type of database system designed around relation tables. Within relational database 109 there exist a number of mechanisms, including query processor 120 and auditing mechanism 122. Query processor 120
20 operates on a number of relational tables 113, including table 114 and table 116. Auditing mechanism 122 records audit records to audit record store 118.

Note that tables 113 and audit record store 113 reside on storage device 112, which is controlled by database server 110. Storage device 112 can include any type of non-volatile storage device, such as non-volatile storage devices based
25 on magnetic, optical and/or magneto-optical storage devices. Storage device 112 can also include non-volatile semiconductor storage devices based on flash memory or battery-backed up random access memory. Note that copies of

selected portions of tables 113 and audit record store 118 can also exist within memory in database server 110.

Table Structure

5 FIG. 2 illustrates the structure of table 114 within relational database 119 in accordance with an embodiment of the present invention. Table 114 has the same structure as a typical table in a relational database, including a number of rows containing various fields.

10 Table 114 also includes a row-level auditing flag 206 which indicates whether auditing is enabled for the table. In another embodiment of the present invention, the system includes a database-wide row-level auditing flag, which enables row-level auditing for the entire relational database 109.

15 Table 114 is additionally associated with a number of auditing conditions, including auditing condition 202 “salary > 1,000,000” and auditing condition 204 “title = ‘CEO’”. Auditing conditions 202 and 204 instruct relational database 109 to create an audit record for any rows that are accessed within table 114 that include a salary field with a value greater than 1,000,000, or that include a title field specifying a CEO. Accesses to other rows in table 114 that do not satisfy either of these auditing conditions do not cause an audit record to be generated.

20

Process of Auditing a Query

25 FIG. 3 is a flow chart illustrating the process of auditing a query in accordance with an embodiment of the present invention. The system starts by receiving a query 123 at relational database 109 within database server 110 from application 107 within application server 108 (step 302).

 The system first determines if auditing is enabled by checking all tables referenced by the query to see if an auditing flag is set for the tables (step 303). If

auditing is not enabled, the system processes query 123 as usual to produce a query result 124 (step 305), and then returns query result 124 (step 314).

If auditing is enabled, the system modifies the query by inserting monitoring logic into query 123 (step 304). This monitoring logic causes an audit
5 record to be created and recorded for any rows that satisfy an auditing condition.

In one embodiment of the present invention, query 123 is modified by inserting statements into query 123 to make query 123 call a function that creates and records auditing records if a row in a table satisfies the auditing conditions.

In another embodiment of the present invention, modifying query 123
10 involves creating two separate queries. A first query additionally includes restrictions based on the auditing conditions. This query produces audit records only for rows that satisfy the auditing conditions. A second query is unmodified from the initial query and is used to produce the query result.

In one embodiment of the present invention, the auditing condition is
15 specified for the entire relational database 109. In another embodiment, the auditing condition is specified on a table-by-table basis.

Next, the optimization layer performs view merging (step 305), and the system processes query 123 to produce the query result 124 (step 306). In doing so, the system creates an audit record for rows that are accessed by query 123 and
20 that satisfy the auditing condition (step 310). Alternatively, the audit record can be produced by running a second query at a later time to produce the audit record.

Note that the audit record includes a user name for a user making the query, a time stamp specifying a time of the query, a text of the query and specific variable bindings for the query.

25 The system then records the audit record in audit record store 118 (step 312) and then returns the query result 124 to application 107 (step 314).

Process of Reforming a Query

FIG. 4 is a flow chart illustrating the process of reforming a query for auditing purposes in accordance with an embodiment of the present invention. The system starts by receiving a query 123 at relational database 109 (step 402).

- 5 The system next retrieves any auditing conditions that might exist for tables involved in query 123, such as auditing conditions 202 and 204 associated with table 114 (step 404).

- 10 If query 123 includes a statement that modifies a table within relational database 109, such as a delete statement, an insert statement or an update statement, the system uses pre-existing triggers in the database system to create audit records for these statements (step 405). Note that a database system generally maintains a log of changes to database tables, so providing an auditing mechanism for these changes in addition to the log may be unnecessary. The system then processes query 123 to produce query result 124 (step 418).

- 15 If query 123 includes a select statement, the system appends a case statement to query 123 for each auditing condition using a view expansion mechanism (step 406). For example, suppose that the auditing condition is DEPT = 'SALES' and that query 123 is,

- 20 SELECT * FROM payroll WHERE salary>150,000;

The system appends a case statement to the select statement as follows.

- 25 SELECT * FROM
(
SELECT * FROM payroll
WHERE (CASE WHEN (dept= 'sales') THEN SYS_AUDIT()

ELSE NOT NULL END) IS NOT NULL;

)

WHERE salary > 150,000

- 5 In this example, the case statement will cause the SYS_AUDIT() function to be called if the auditing condition is satisfied. This SYS_AUDIT() function causes an audit record to be created and recorded.

Note that the function SYS_AUDIT() always returns NOT NULL (unless an error condition arises within SYS_AUDIT()). Therefore the predicate is
10 always evaluated as TRUE. Hence, the predicate does not affect the logic of query 123, and the predicate can be safely applied to query 123.

Next, the query processor is allowed to allocate buffers for query 123 (step 408). After the buffers are allocated, the system removes the case statement from query 123 (step 410). In one embodiment of the present invention, this involves
15 moving a query filter list data structure that refers to the predicate to a temporary location in the query block data structure that contains all the meta-information for query 123. Moving the predicate in this way prevents the optimizer from reorganizing the CASE clause and query plan layer to allocate row source for the CASE clause.

- 20 After optimization, the system generates a query plan for query 123 to specify an order in which the operations involved in query 123 are carried out (step 412).

After the query plan is generated (but before generating the execution plan for any "GROUP BY" clause that may exist) the system generates an execution
25 plan for the case statement so that the case statement is evaluated only for rows that satisfy all the preceding conditions in query 123 (step 414). In this way, an audit record is created only for rows are accessed by query 123.

Next, the system processes query 123 to produce query result 124 (step 418). This causes an audit record to be created (step 420) and recorded (step 422) for each row that satisfies the conditions of query 123 as well as the auditing conditions.

5 Finally, the system returns query result 124 to the entity that issued query 123 (step 424).

The foregoing descriptions of embodiments of the invention have been presented for purposes of illustration and description only. They are not intended to be exhaustive or to limit the present invention to the forms disclosed.

10 Accordingly, many modifications and variations will be apparent to practitioners skilled in the art. Additionally, the above disclosure is not intended to limit the present invention. The scope of the present invention is defined by the appended claims.

What Is Claimed Is:

- 1 1. A method for selectively auditing accesses to a relational database
2 system, comprising:
3 receiving a query from a client at a database server that processes queries
4 for the relational database system;
5 processing the query at the database server to produce a query result;
6 creating an audit record for rows in relational tables that are accessed by
7 the query and that satisfy an auditing condition;
8 recording the audit record in an audit record store; and
9 returning the query result to the client.
- 1 2. The method of claim 1, further comprising modifying the query
2 prior to processing the query, so that processing the query causes the audit record
3 to be created and recorded for rows in relational tables that are accessed by the
4 query and that satisfy the auditing condition.
- 1 3. The method of claim 1, wherein the auditing condition is
2 associated with a table in the relational database system.
- 1 4. The method of claim 1, wherein the auditing condition includes a
2 plurality of auditing conditions.
- 1 5. The method of claim 1, wherein the audit record includes:
2 a user name for a user making the query;
3 a time stamp specifying a time of the query; and
4 a text of the query.

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1 6. The method of claim 1, wherein creating the audit record includes:
2 determining if auditing is enabled; and
3 creating the audit record if auditing is enabled.

1 7. The method of claim 6, wherein auditing is enabled on a table by
2 table basis.

1 8. The method of claim 1, wherein the audit record is created while
2 the query result is being generated.

1 9. The method of claim 1, wherein the audit record is created after the
2 query result is generated.

1 10. The method of claim 1, wherein the auditing condition includes a
2 condition for a field within the relational database system.

1 11. A computer-readable storage medium storing instructions that
2 when executed by a computer cause the computer to perform a method for
3 selectively auditing accesses to a relational database system, the method
4 comprising:
5 receiving a query from a client at a database server that processes queries
6 for the relational database system;
7 processing the query at the database server to produce a query result;
8 creating an audit record for rows in relational tables that are accessed by
9 the query and that satisfy an auditing condition;
10 recording the audit record in an audit record store; and

1 18. The computer-readable storage medium of claim 11, wherein the
2 audit record is created while the query result is being generated.

1 19. The computer-readable storage medium of claim 11, wherein the
2 audit record is created after the query result is generated.

1 20. The computer-readable storage medium of claim 11, wherein the
2 auditing condition includes a condition for a field within the relational database
3 system.

1 21. An apparatus that selectively audits accesses to a relational
2 database system, comprising:
3 a database server;
4 a receiving mechanism, at the database server, that is configured to receive
5 a query from a client;
6 a query processor that is configured to process the query at the database
7 server to produce a query result;
8 an auditing mechanism that is configured to,
9 create an audit record for rows in relational tables that are
10 accessed by the query and that satisfy an auditing condition, and to
11 record the audit record in an audit record store; and
12 a returning mechanism that returns the query result to the client.

1 22. The apparatus of claim 21, further comprising a query modification
2 mechanism that is configured to modify the query prior to processing the query, so
3 that processing the query causes the audit record to be created and recorded for

1 rows in relational tables that are accessed by the query and that satisfy the auditing
2 condition.

1 23. The apparatus of claim 21, wherein the auditing condition is
2 associated with a table in the relational database system.

1 24. The apparatus of claim 21, wherein the auditing condition includes
2 a plurality of auditing conditions.

1 25. The apparatus of claim 21, wherein the audit record includes:
2 a user name for a user making the query;
3 a time stamp specifying a time of the query; and
4 a text of the query.

1 26. The apparatus of claim 21, wherein the auditing mechanism is
2 configured to:
3 determine if auditing is enabled; and to
4 create the audit record if auditing is enabled.

1 27. The apparatus of claim 26, wherein the auditing mechanism is
2 configured to enable auditing on a table by table basis.

1 28. The apparatus of claim 21, wherein the auditing mechanism is
2 configured to create the audit record while the query result is being generated.

1 29. The apparatus of claim 21, wherein the auditing mechanism is
2 configured to create the audit record after the query result is generated.

- 1 30. The apparatus of claim 21, wherein the auditing condition includes
2 a condition for a field within the relational database system.

SELECTIVELY AUDITING ACCESSSES TO ROWS WITHIN A RELATIONAL DATABASE AT A DATABASE SERVER

ABSTRACT

One embodiment of the present invention provides a system that selectively audits accesses to a relational database system. This system starts by receiving a query from a client at a database server. The system processes this query at the database server to produce a query result. The system also creates an audit record for rows in relational tables that are accessed by the query, and that satisfy an auditing condition. Next, the system records the audit record in an audit record store and returns the query result to the client. Integrating the auditing facility into the relational database system in this manner ensures that auditing is performed in the same way regardless of which application generates the query. Furthermore, this auditing is transparent to applications and users. In one embodiment of the present invention, the system additionally modifies the query so that processing the query causes the audit record to be created and recorded for rows in relational tables that are accessed by the query and that satisfy the auditing condition. In a variation on this embodiment, the auditing condition is associated with a table in the relational database system.

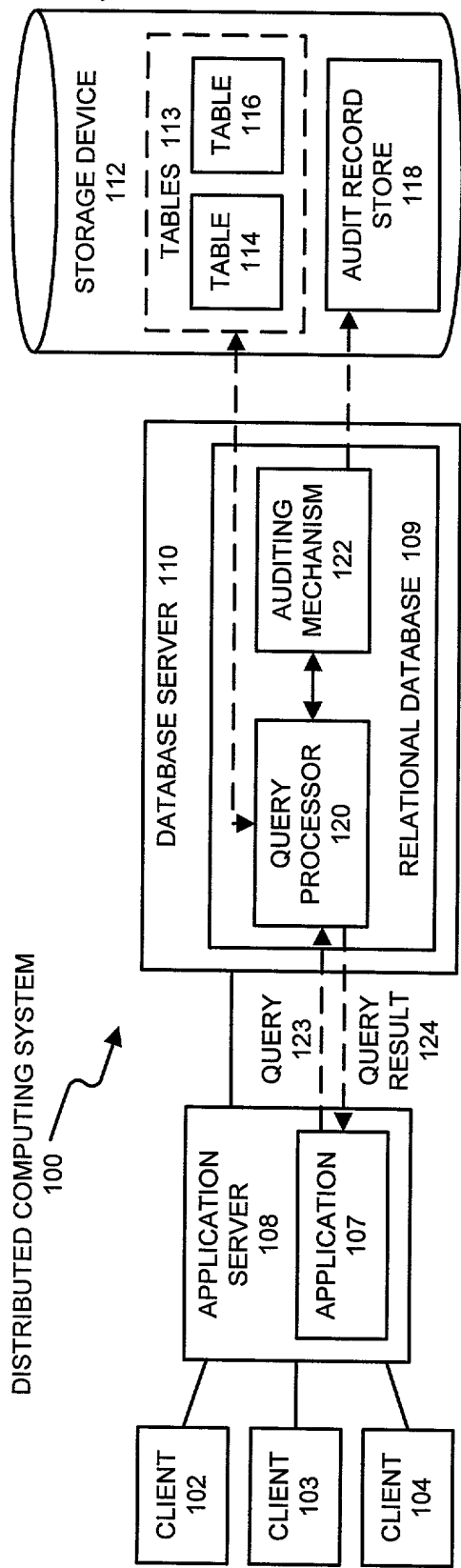


FIG. 1

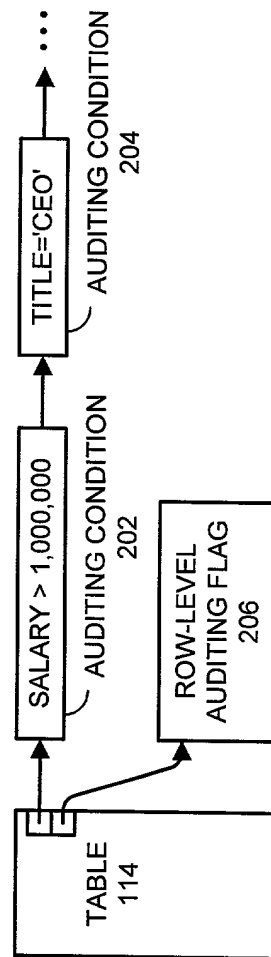


FIG. 2

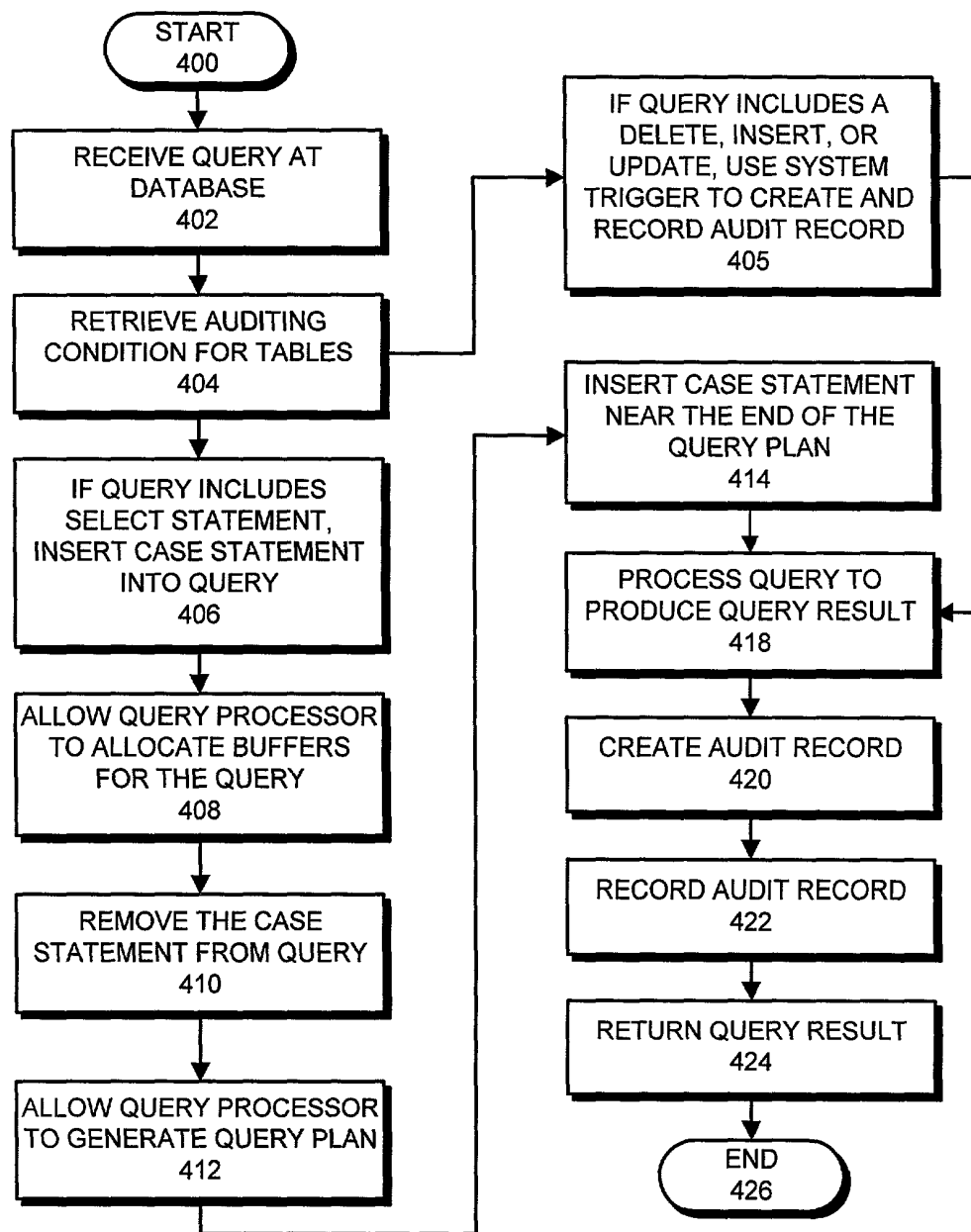


FIG. 4

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below by my name;

I believe I am the original, first and sole inventor, if only one name is listed below, or an original, first and joint inventor if multiple names are listed below, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SELECTIVELY AUDITING ACCESSES TO ROWS WITHIN A RELATIONAL DATABASE AT A DATABASE SERVER

for which a patent application:

☒ is attached hereto.

☐ was filed in the United States on _ as Application No. _;

☐ with amendment(s) filed on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the application identified above, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56, which states in relevant part:

Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section.... The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office....

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (Day, Month, Year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e), of any United States provisional application(s) listed below:

APPLICATION NUMBER	DATE OF FILING

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	DATE OF FILING	STATUS		
		PATENTED	PENDING	ABANDONED

I hereby appoint Daniel E. Vaughan (Reg. No. 42,199) and A. Richard Park (Reg. No. 41,241) of PARK & VAUGHAN and

Park & Vaughan LLP
508 Second Street, Suite 201
Davis, CA 95616

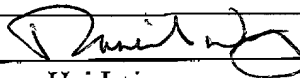
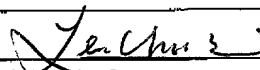


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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1	Name and Citizenship	Daniel ManHung Wong	United States of America
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	Postal Address (if different from Residence)		
	Signature and Date		Date 4/17/2000
2	Name and Citizenship	Chon Hei Lei	United States of America
	Residence Address	352 Sweet Road, Alameda, CA 94502	
	Postal Address (if different from Residence)		
	Signature and Date		Date 4/17/2000
3	Name and Citizenship	Patrick F. Sack	United States of America
	Residence Address	21660 Mitchell Court, Ashburn, VA 20147	
	Postal Address (if different from Residence)		
	Signature and Date		Date
4	Name and Citizenship		
	Residence Address		
	Postal Address (if different from Residence)		
	Signature and Date		Date
5	Name and Citizenship		
	Residence Address		
	Postal Address (if different from Residence)		
	Signature and Date		Date

Additional inventor name(s) and signature(s) attached?: YES ☐ NO ☒

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below by my name;

I believe I am the original, first and sole inventor, if only one name is listed below, or an original, first and joint inventor if multiple names are listed below, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SELECTIVELY AUDITING ACCESSES TO ROWS WITHIN A RELATIONAL DATABASE AT A DATABASE SERVER

for which a patent application:

☒ is attached hereto.

☐ was filed in the United States on _ as Application No. _;

☐ with amendment(s) filed on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the application identified above, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56, which states in relevant part:

Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section.... The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office....

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (Day, Month, Year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e), of any United States provisional application(s) listed below:

APPLICATION NUMBER	DATE OF FILING

I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	DATE OF FILING	STATUS		
		PATENTED	PENDING	ABANDONED

I hereby appoint Daniel E. Vaughan (Reg. No. 42,199) and A. Richard Park (Reg. No. 41,241) of PARK & VAUGHAN and

Sanjay Prasad (Reg. No. 36,247) of the Oracle Corporation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and to file, prosecute and transact all business in connection with international applications directed to said invention.

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PATENT TRADEMARK OFFICE

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Additional inventor name(s) and signature(s) attached?: YES ☐ NO ☒

Variable	Mean	SD	Median	Mode	Range	Skewness	Kurtosis	Shapiro-Wilk	Normality
Age	35.5	10.5	33.0	30.0	20.0-55.0	0.15	2.50	0.98	Normal
Gender	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Marital Status	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Education	12.5	2.5	12.0	12.0	10.0-15.0	0.10	2.00	0.98	Normal
Occupation	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Income	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Health Status	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Stress Level	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Life Satisfaction	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Resilience	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Optimism	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Emotional Stability	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Self-Esteem	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Life Satisfaction	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Resilience	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Optimism	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Emotional Stability	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal
Self-Esteem	1.5	0.5	1.0	1.0	1.0-2.0	0.00	3.00	0.99	Normal

The undersigned ASSIGNEE of the entire interest in the above-identified application for letters patent hereby appoints Sanjay Prasad, Registration No. 36,247, Roger P. Kennedy, Registration No. 44,823 and Christopher Brokaw, Registration No. P-45,620 of ORACLE CORPORATION, and A. Richard Park, Registration No. 41,241 and Daniel E. Vaughan, Registration No. 42,199 of PARK & VAUGHAN LLP, to prosecute this application and transact all business in the United States and Trademark Office in connection therewith and hereby revokes all prior powers of attorney; said appointment to be to the exclusion of the inventors and the inventors' attorneys in accordance with the provisions of 37 C.F.R. § 3.71.

 x a copy of an Assignment attached hereto, which Assignment has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or

Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE.

ASSIGNEE: Oracle Corporation

Name: Sanjay Prasad

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